

L24233GA1985PLC001587

Ref: SPL/BSE/CORP-SEC/2016-17/188

Dated: 21st October 2016

To,
The Deputy Manager (Corporate Services)
The Bombay Stock Exchange Limited
1st Floor, Rotunda Bldg
B.S Marg, Fort
Mumbai -400001

Sub: certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

Sir,

We are sending herewith certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half year ended 30th September 2016.

Kindly take note of the same

For Sandu Pharmaceuticals Limited

P. Kesari

Company Secretary



ND & ASSOCIATES

Practising Company Secretaries

NS Neeta H. Desai

BA, LLB, LLM, FCS
Tel.: 24095104
Mobile:9821498216
Mobile:9892288254



279/18, Shantikunj, Road No. 31,
Sion (East), Mumbai - 400 022.
E-mail: ndassociates@rediffmail.com
E-mail: ndassociates2128@gmail.com

The Board of Directors,
M/s Sandu Pharmaceuticals Limited
Plot No. 25, 26, 29 & 30,
Pilerne Industrial Estate,
Marra, Bardez, Goa - 403511

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED SEPTEMBER 30, 2016

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **M/s. Sandu Pharmaceuticals Limited** maintained by **M/s. Sharex Dynamic (India) Private Limited** pertaining to transfer of equity shares of the Company for the period from 1st April, 2016 to 30th September, 2016 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations 2015. Based on the information provided by the Company and RTA, we hereby certify that the Company has delivered during the half year ended on September 2016:

A] Share Certificate(s) relating to the share transfer form (s) received during the period as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each from excepting those rejected on technical grounds.

B] There are no requests for duplicate, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment

Date : Mumbai
Place: 12.10.2016

For ND & ASSOCIATES

Neeta H. Desai
Company Secretary
C. P. No. 4741