

## SANDU PHARMACEUTICALS LTD.

**Regd. Office:** Plot Nos. 25, 26, 29 & 30, Pilerne Industrial Estate, Marra, Saligao, Bardez, Goa-403511. Tel: +91 832 2407474 / 6715000, Fax: +91 832 2407008, E-mail: sandupharma@sandu.in

L24233GA1985PLC001587

Ref no-SPL/BSE/Corp-Sec/2016-17/367

Dated: 07<sup>TH</sup> April 2017

To,
Bombay Stock Exchange Limited
Pheroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub: Shareholding Pattern as per Regulation 31(1) of SEBI (LODR) Regulations, 2015.

Sir,

We are sending herewith Shareholding Pattern as per Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended 31<sup>st</sup> March 2017.

Kindly take note of the same.

For Sandu Pharmaceuticals Limited

Pratika Shenvi Dessai Company Secretary







Scrip code	524703
Name of the company	Sandu Pharmaceuticals Ltd.
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)



Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No



	Category	N. GO	No. of fully	No. Of Partly	No. Of shares	Total nos. shares	Shareholding as a % of total no. of	Number of s	of Voting	g Rights hel (IX)	d in each
Category (I)	of shareholder	Nos. Of shareholders (III)	paid up equity shares	paid- up	underlying Depository	held (VII) =	shares (calculated as	No of Voting (XIV) Rights			
	(II)	(111)	held (IV)	equity shares held (V)	Receipts (VI)	(IV)+ (V)+ (VI)	per SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	Total as a % of (A+B+C)
(A)	Promoter & Promoter Group	10	3185690			3185690	44.99	3185690		3185690	44.99
(B)	Public	10580	3895310			3895310	55.01	3895310	-	3895310	55.01
(C)	Non Promoter- Non Public									30,5310	33.01
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	10590	7081000			7081000	100	7081000		7081000	100



	Category	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of convertible securities ( as a	Lock	ber of ed in es (XII)	Share pledg other	ged or wise mbered	Number of equity shares held in
Category (I)	of shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
(A)	Promoter & Promoter Group				44.99					3185690
(B)	Public				55.01					2868560
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			-		6054250



		*	No. of fully	No. Of Partly	No. Of shares	Total nos. shares	Shareholding as a % of total no. of shares	Number of each class	f Voting of secur	Rights held ities (IX)	in
Sr.	Category & Name of the	Nos. Of shareholders (III)	paid up equity	paid- up	underlying Depository	held (VII) =	(calculated as per	No of Vot	ing (XIV	) Rights	Total as a %
	Shareholders (I)	(111)	shares held (IV)	equity shares held (V)	Receipts (VI)	(IV)+ (V)+ (VI)	SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	of Total Voting rights
A	Table II - Statemen	t showing share	holding patt	ern of the	e Promoter and	d Promoter	Group				
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	2228100			2228100	31.47	2228100		2228100	31.47
(d)	Any Other (specify)	4	957590			957590	13.52	957590		957590	13.52
Sub-Total (A)(1)		10	3185690			3185690	44.99	3185690		3185690	44.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	3185690			3185690	44.99	3185690		3185690	44.99
В	Table III - Stateme	nt showing shar	reholding pa	ttern of th	ne Public share	eholder					
(1)	Institutions										
(f)	Financial Institutions/ Banks	1	500			500	0.01	500		500	0.01
Sub-Total (B)(1)		1	500	-		500	0.01	500		500	0.01
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10443	3082026			3082026	43.53	3082026		3082026	43.53
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	8	560243			560243	7.91	560243		560243	7.91
(e)	Any Other (specify)	128	252541			252541	3.57	252541		252541	3.57
Sub-Total (B)(3)		10579	3894810			3894810	55	3894810		3894810	55
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)	,	10580	3895310			3895310	55.01	3895310		3895310	55.01
С	Table IV - Stateme	ent showing sha	reholding pa	ittern of t	he Non Promo	oter- Non Pu	iblic shareholder				Hanon 100

(A+B+C2)						
Total (A+B+C)	10590	7081000	7081000	100	7081000	7081000 100



Sr.	No. Of Shares Underlying Outstanding	No. of Shares Underlying Outstanding	No. Of Shares Underlying Outstanding convertible securities and	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of	Lock	ber of ted in es (XII)	Share pleds other	ged or wise mbered	Number of equity shares held in
	convertible securities (X)	Warrants (Xi)	No. Of Warrants (Xi)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)
A	Table II - State	ement showing s	shareholding patte	ern of the Promoter an	nd Pror	noter Gro	up		
(1)	Indian								
(a)				31.47					2228100
(d)				13.52					957590
Sub-Total (A)				44.99					3185690
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				44.99					3185690
В	Table III - Stat	tement showing	shareholding patt	tern of the Public sha	reholde	er			
(1)	Institutions								
(f)				0.01					0
Sub-Total (B) (1)				0.01					0
(3)	Non-institution	ns	9						
(a(i))				43.53					2064776
(a(ii))				7.91					560243
(e)				3.57					243541
Sub-Total (B) (3)				55					2868560
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				55.01					2868560
С	Table IV - Sta	tement showing	shareholding pat	tern of the Non Prom	oter- N	Non Public	sharel	holder	
Total ( A+B+C2 )				100					6054250
Total (A+B+C)				100					6054250

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Searial No.  Name of the Shareholders (I)	BHASKAR GOVIND SANDU	UMESH B SANDU	SHUBHADA PRABHAKAR SANDU	SHASHANK BHASKAR SANDU	GEETA U SANDU	MINAL SHASHANK SANDU
PAN (II)	ABXPS2274E	ABWPS9336G	AAYPS6366F	ABWPS9337H	AGPPS8792J	ABYPS5971R
No. of fully paid up equity shares held (IV)	737000	677100	10000	657900	85000	61100
No. Of Partly paid- up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)					-	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	737000	677100	10000	657900	85000	61100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.41	9.56	0.14	9.29	1.2	0.86
Number of Voting	Rights held in each	class of securities (	(IX)			
Class eg:X	737000	677100	10000	657900	85000	61100
Class eg:y						
Total	737000	677100	10000	657900	85000	61100
Total as a % of Total Voting rights	10.41	9.56	0.14	9.29	1.2	0.86
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	10.41	9.56	0.14	9.29	1.2	0.86 HARD
(11. D. C2)						
Number of Locked	in shares (XII)					

Shares held (b)						
Number of Shares	pledged or other	rwise encumbered (	XIII)			
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	737000	677100	10000	657900	85000	61100
Reason for not pro	viding PAN					
Reason for not providing PAN						



Individuals/E	lindu undivided Family
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2228100
No. Of Partly paid- up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+(VI)	2228100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	31.47
Number of Voting Rights held in each class of securities (IX)	
Class eg:X	2228100
Class eg:y	
Total	2228100
Total as a % of Total Voting rights	31.47
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	ON GO
Shareholding, as a % assuming full conversion of convertible securities (as a	
percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	31.47
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	

No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	2228100
Reason for not providing PAN	



		Any Other (spe	ecify)		
Searial No.	1	2	3	4	EPER I
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	NOUMURA REALTY AND CONSTRUCTIONS PRIVATE LIMITED	PHYBRICHEM ENGINEERS PRIVATE LIMITED	SANMARK REALTY AND FINANCE PRIVATE LIMITED	AKSHATH FINVEST AND PROPERTIES PRIVATE LIMITED	
PAN (II)	AAACN9120B	AAACP3898E	AABCS6077P	AABCA2004Q	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	219010	155963	543930	38687	957590
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	219010	155963	543930	38687	957590
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.09	2.2	7.68	0.55	13.52
	Rights held in each class of sec	urities (IX)			
Class eg: X	219010	155963	543930	38687	957590
Class eg:y					
Total	219010	155963	543930	38687	957590
Total as a % of Total Voting rights	3.09	2.2	7.68	0.55	13.52
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					NOU PA
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					13
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)	3.09	2.2	7.68	0.55	13.52

+(X) As a % of (A+B+C2)					
Number of Locked	l in shares (XII)				
No. (a)					
As a % of total Shares held (b)					
Number of Shares	pledged or otherwise e	encumbered (XIII)			
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	219010	155963	543930	38687	957590
Reason for not providing PAN					



earial No.	1	2	
Name of the Shareholders (I)	HITESH KUVELKAR	MUKESH RAOJIBHAI PATEL	Click here to go back
PAN (II)	AISPK2510P	AABTC0387P	Total
No. of fully paid up equity shares held (IV)	105828	219176	325004
No. Of Partly paid- up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	105828	219176	325004
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.49	3.1	4.59
Number of Voting Righ	nts held in each class of securities	(IX)	
Class eg: X	105828	219176	325004
Class eg:y			
Total	105828	219176	325004
Total as a % of Total Voting rights	1.49	3.1	4.59
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			SH46
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			A nand
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.49	3.1	4.59
Number of Locked in s	hares (XII)		
No. (a)			
As a % of total Shares held (b)			

states held in dematerialized form (XIV)	105828	219176	325004
Reason for not provid	ling PAN		
Reason for not providing PAN			

