



# SANDU PHARMACEUTICALS LTD.

**Regd. Office:** Plot Nos. 25, 26, 29 & 30, Pilerne Industrial Estate, Marra, Saligao, Bardez, Goa-403511.  
Tel: +91 832 2407474 / 6715000, Fax: +91 832 2407008, E-mail: sandupharma@sandu.in

L24233GA1985PLC001587

Ref no-SPL/BSE/Corp-Sec/2016-17/283

Dated: 18th January 2017

To,  
Bombay Stock Exchange Limited  
Pheroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Sub: Revised Shareholding Pattern as per Regulation 31(1) of SEBI (LODR) Regulations, 2015.

Sir,

We are sending herewith Revised Shareholding Pattern for the quarter ended 31<sup>st</sup> December 2016 as there is change in shareholding under the category Institutions under Sub category Others and under category Non Institution under sub category any other (specify) duly submitted by M/s Sharex Dynamic India Private Limited, Registrar and Share Transfer Agent of our Company

Kindly take note of the same.

For Sandu Pharmaceuticals Limited

*Pratika*

Pratika Shenvi Dessai  
Company Secretary



AN ISO 9001:2008 CERTIFIED ORGANISATION

Corporate Office: P. B. No. 17201, Sandu Nagar, D. K. Sandu Marg, Chembur, Mumbai – 400 071.  
Tel: +91 22 25284402/3306, Fax: +91 22 25282403, E-mail: info@sandu.in, Web: www.sandu.in



<b>General information about company</b>	
Scrip code	524703
Name of the company	Sandu Pharmaceuticals Ltd.
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	3185690			3185690	44.99	3185690		3185690	44.99
(B)	Public	10646	3895310			3895310	55.01	3895310		3895310	55.01
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	10656	7081000			7081000	100	7081000		7081000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				44.99					3185690
(B)	Public				55.01					2866160
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					6051850

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	6	2228100			2228100	31.47	2228100		2228100	31.47
(d)	Any Other (specify)	4	957590			957590	13.52	957590		957590	13.52
Sub-Total (A)(1)		10	3185690			3185690	44.99	3185690		3185690	44.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		10	3185690			3185690	44.99	3185690		3185690	44.99
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	1	500			500	0.01	500		500	0.01
Sub-Total (B)(1)		1	500			500	0.01	500		500	0.01
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	10500	3045367			3045367	43.01	3045367		3045367	43.01
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	7	576755			576755	8.15	576755		576755	8.15
(e)	Any Other (specify)	138	272688			272688	3.85	272688		272688	3.85
Sub-Total (B)(3)		10645	3894810			3894810	55	3894810		3894810	55
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		10646	3895310			3895310	55.01	3895310		3895310	55.01
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		10656	7081000			7081000	100	7081000		7081000	100
Total (A+B+C)		10656	7081000			7081000	100	7081000		7081000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				31.47					2228100
(d)				13.52					957590
Sub-Total (A) (1)				44.99					3185690
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				44.99					3185690
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0.01					0
Sub-Total (B) (1)				0.01					0
(3)	Non-institutions								
(a(i))				43.01					2025717
(a(ii))				8.15					576755
(e)				3.85					263688
Sub-Total (B) (3)				55					2866160
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				55.01					2866160
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					6051850
Total (A+B+C)				100					6051850

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	BHASKAR GOVIND SANDU	UMESH B SANDU	SHUBHADA PRABHAKAR SANDU	SHASHANK BHASKAR SANDU	GEETA U SANDU	MINAL SHASHANK SANDU
PAN (II)	ABXPS2274E	ABWPS9336G	AAYP56366F	ABWPS9337H	AGPPS8792J	ABYPS5971R
No. of fully paid up equity shares held (IV)	737000	677100	10000	657900	85000	61100
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+(VI)	737000	677100	10000	657900	85000	61100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	10.41	9.56	0.14	9.29	1.2	0.86
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	737000	677100	10000	657900	85000	61100
Class eg:y						
Total	737000	677100	10000	657900	85000	61100
Total as a % of Total Voting rights	10.41	9.56	0.14	9.29	1.2	0.86
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	10.41	9.56	0.14	9.29	1.2	0.86
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	737000	677100	10000	657900	85000	61100





<b>Individuals/Hindu undivided Family</b>	
Serial No.	
Name of the Shareholders (I)	<a href="#">Click here to go back</a>
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2228100
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2228100
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	31.47
<b>Number of Voting Rights held in each class of securities (IX)</b>	
Class eg:X	2228100
Class eg:y	
Total	2228100
Total as a % of Total Voting rights	31.47
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	31.47
<b>Number of Locked in shares (XII)</b>	
No. (a)	
As a % of total Shares held (b)	
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	2228100
Reason for not providing PAN	

Reason for not providing PAN	
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Any Other (specify)					
Serial No.	1	2	3	4	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	NOUMURA REALTY AND CONSTRUCTIONS PRIVATE LIMITED	PHYBRICHEM ENGINEERS PRIVATE LIMITED	SANMARK REALTY AND FINANCE PRIVATE LIMITED	AKSHATH FINVEST AND PROPERTIES PRIVATE LIMITED	
PAN (II)	AAACN9120B	AAACP3898E	AABCS6077P	AABCA2004Q	Total
No. of the Shareholders (I)	1	1	1	1	4
No. of fully paid up equity shares held (IV)	219010	155963	543930	38687	957590
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	219010	155963	543930	38687	957590
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.09	2.2	7.68	0.55	13.52
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	219010	155963	543930	38687	957590
Class eg:y					
Total	219010	155963	543930	38687	957590
Total as a % of Total Voting rights	3.09	2.2	7.68	0.55	13.52
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.09	2.2	7.68	0.55	13.52
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of Shares pledged or otherwise encumbered (XIII)					
No. (a)					

As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	219010	155963	543930	38687	957590
Reason for not providing PAN					

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>			
Searial No.	1	2	
Name of the Shareholders (I)	HITESH KUVELKAR	MUKESH RAOJIBHAI PATEL	<a href="#">Click here to go back</a>
PAN (II)	AISPK2510P	AABTC0387P	Total
No. of fully paid up equity shares held (IV)	103878	263233	367111
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	103878	263233	367111
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.47	3.72	5.18
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	103878	263233	367111
Class eg:y			
Total	103878	263233	367111
Total as a % of Total Voting rights	1.47	3.72	5.18
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.47	3.72	5.18
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	103878	263233	367111
<b>Reason for not providing PAN</b>			
Reason for not providing PAN			

